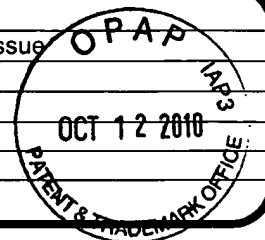


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Substitute for form 1449/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/825,440 Reissue
			Filing Date	04/14/2004
Date Submitted: October 12 2010 (use as many sheets as necessary)			First Named Inventor	Bruce Bent
			Art Unit	3693
Sheet 1 of 5			Examiner Name	Patel, Jagdish
			Attorney Docket Number	049212-0102



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	CA1	2007/0118449	05-24-2007	DE LA MOTTE ET AL.	
	CA2	7,519,551	04-14-2009	BENT ET AL.	
	CA3	7,536,350	05-19-2009	BENT ET AL.	
	CA4	7,668,772	02-23-2010	BENT ET AL.	
	CA5	7,672,886	03-02-2010	BENT ET AL.	
	CA6	7,680,734	03-16-2010	BENT ET AL.	
	CA7	7,716,131	05-11-2010	BENT ET AL.	
	CA8	7,752,107	07-06-2010	BENT ET AL.	
	CA9	7,752,129	07-06-2010	BENT ET AL.	
	CA10	7,769,688	08-03-2010	BENT ET AL.	

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Application Document	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Serial Number-Kind Code ² (if known)			
	CA11	09/677,535	10-02-2000	BRUCE BENT ET AL.	
	CA12	10/825,440	04-14-2004	BRUCE BENT ET AL.	
	CA13	11/641,046	12-19-2006	BRUCE BENT ET AL.	
	CA14	11/840,052	08-16-2007	BRUCE BENT ET AL.	
	CA15	11/840,060	08-16-2007	BRUCE BENT ET AL.	
	CA16	12/385,522	04-10-2009	BRUCE BENT ET AL.	
	CA17	12/408,507	03-20-2009	BRUCE BENT ET AL.	
	CA18	12/408,511	03-20-2009	BRUCE BENT ET AL.	
	CA19	12/408,523	03-20-2009	BRUCE BENT ET AL.	
	CA20	12/453,387	05-08-2009	BRUCE BENT ET AL.	
	CA21	12/453,388	05-08-2009	BRUCE BENT ET AL.	
	CA22	12/453,389	05-08-2009	BRUCE BENT ET AL.	
	CA23	12/453,390	05-08-2009	BRUCE BENT ET AL.	
	CA24	12/622,979	11-20-2009	BRUCE BENT ET AL.	
	CA25	12/794,448	06-04-2010	BRUCE BENT ET AL.	
	CA26	12/794,545	06-04-2010	BRUCE BENT ET AL.	
	CA27	12/816,092	06-15-2010	BRUCE BENT II ET AL.	
	CA28	12/829,961	07-02-2010	BRUCE BENT, ET AL.	

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FOREIGN PATENT DOCUMENTS						
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NON PATENT LITERATURE DOCUMENTS			
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	CA29	An iMoneyNet Special Report, Brokerage Cash Sweep Options: The Shift from Money Funds to FDIC-Insured Bank Deposit Accounts, by Peter G. Crane & Michael F. Krasner, iMoneyNet, November 2004, 66 pages.	
	CA30	Email from Olivia Kim to Charles Macedo on June 9, 2010 with attachment of Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation, and Intrasweep LLC against Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Defendant Deutsche Bank Trust Company America's responses to Intrasweep's common interrogatory Nos. 1-5, Confidential-Attorneys only, Civil Action No. 09 Civ. 2675 (VM) (AJP).	
	CA31	Email from Olivia Kim to Charles Macedo on May 13, 2010 with attachment of Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation, and Intrasweep LLC against Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Defendant Deutsche Bank Trust Company America's responses to Double Rock's Common interrogatory Nos. 1-10 to defendants Reservation of Right, Civil Action No. 09 Civ. 2675 (VM) (AJP).	
	CA32	Email from Olivia Kim to Charles Macedo on May 13, 2010 with attachment of Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation, and Intrasweep LLC against Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Defendant Total Bank Solutions, LLC's responses to Double Rock's Common interrogatory Nos. 1-10 to defendants, Reservation of Right, Civil Action No. 09 Civ. 2675 (VM) (AJP).	
	CA33	IDC Deposits, online, http://idcdeposits.com/ , 2009, 1 Sheet.	
	CA34	Insured Bank Deposit Program Summary Information Statement, Information Statement, and list of Eligible Program Banks Effective February 10, 2005, 11 pages.	

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	CA35	Lawsuit by Island Intellectual Property LLC, et al., against Deutsche Bank Trust Company Americas, et al.; Defendant Deutsche Bank Trust Company Americas' Fifth Supplemental Responses to Island IP's First Set of Common Interrogatories to All Defendants (No. 7); Case No. 09 Civ. 2675 (VM)(AJP); September 16, 2010; 9 pages	
	CA36	Lawsuit by Island Intellectual Property LLC, et al., against Deutsche Bank Trust Company Americas, et al.; Defendant Total Bank Solutions, LLC's Fifth Supplemental Responses to Island IP's First Set of Common Interrogatories to All Defendants (No. 7); Case No. 09 Civ. 2675 (VM)(AJP); September 16, 2010; 9 pages	
	CA37	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2677.	
	CA38	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Promontory Interfinancial Network, LLC and MBSC Securities Corporation, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2675.	
	CA39	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation and Intrasweep LLC against Promontory Interfinancial Network LLC, MBSC Securities Corporation, Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Stipulation and Order, October 29, 2009, Case No. 09 CV 2675 (VM) (AJP) (Document 73).	
	CA40	Letter from Gilbert T. Schwartz, Skadden, Arts, Slate, Meagher & Flom to Oliver Ireland, Associate General Counsel, Board of Governors of the Federal Reserve System; December 18, 1987; 19 sheets	
	CA41	Letter from Roger M. Zaitzeff, Seward & Kissel to Gilbert T. Schwartz, Associate General Counsel, Board of Governors of the Federal Reserve System; May 10, 1983, 5 sheets	
	CA42	Promontory Interfinancial Network, Promontory Interfinancial Network Announces New Deposit Placement Service, January 21, 2003, 3 Sheets.	
	CA43	Promontory Interfinancial Network: Frequently Asked Questions (FAQs), February 5, 2003, 5 pages.	
	CA44	Wayne Hummer Money Market Fund Brochure, Performance Data as of Mar. 31, 2003, including Commentary page (attached http://www.whummer.com/funds/money.asp and http://www.whummer.com/fund_dis.htm), 4 pages.	

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				Art Unit	3693
				Examiner Name	Patel, Jagdish
Sheet	4	of	5	Attorney Docket Number	049212-0102

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	CA45	Wayne Hummer Money Market Fund, "Annual Financial Statements," Mar. 31, 2003, 12 pages.	
	CA46	Wayne Hummer Investment Trust, Investment Company Act File No.: 811-3880, "Start Investing Today...", Prospectus, July 31, 2002, 44 pages.	
	CA47	Wayne Hummer Management Company, retrieved from the Internet: "Organization & Clients," http://www.whmgtco.com ; "Assets Under Management - December 31, 2002," http://www.whmgtco.com/asset.htm ; "Equity & Mid Cap Growth Investment Philosophy," http://www.whmgtco.com/equ-phil.htm ; "Economic & Market Commentary - January, 2003," http://www.whmgtco.com/commentary.htm ; "Management Team," http://www.whmgtco.com/team.htm ; "Fixed Income Performance," http://www.whmgtco.com/fixed-perf.htm ; "Mid-Cap Equity Performance," http://www.whmgtco.com/mid-perf.htm ; "All Equity Performance," http://www.whmgtco.com/all-perf.htm , 11 pages [retrieved on 7/2/2003].	
	CA48	Wayne Hummer Market Letter, July/August 2003, 2 pages.	
	CA49	Wayne Hummer Investments, "Wintrust Financial Corporation Reports Second Quarter Earnings; Second Quarter Net Earnings Up 45%," July 18, 1 page.	
	CA50	Weber Shandwick Worldwide, News: For Immediate Release, "Wayne Hummer Investments Names New President & CEO," Apr. 2003, 2 pages.	
	CA51	Wayne Hummer Investments, retrieved from the Internet: "Our People Focused On Your Investments," 7/2/2003, http://www.whummer.com/ ; "Stocks & Bonds," http://www.whummer.com/stocks_bonds.htm ; "Mutual Funds," http://www.whummer.com/mutual_funds.htm ; "Morning Comments," http://www.whummer.com/morningcomments.asp , 6 pages [retrieved on 7/2/2003].	
	CA52	Wayne Hummer Investments, "Investment Executives," retrieved from the Internet: http://www.whummer.com/investment_executives.htm , 2 pages [retrieved on 7/2/2003].	
	CA53	Wayne Hummer Investments LLC, "Consolidated Statement of Financial Condition," with Report of Independent Auditors, Dec. 31, 2002, 12 pages.	

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	CA54	Wintrust Financial Corporation, "Wintrust Financial Corporation Reports Record Earnings for the Fourth Quarter and Year; Fourth Quarter Net Earnings Up 53%," 22 pages.	
	CA55	Wayne Hummer Investments, "A Letter to Wayne Hummer Investments Clients & Friends," July 18, retrieved from the Internet: http://www.whummer.com/wintrust2qEarnings.html , 1 page [retrieved on 7/3/2003].	
	CA56	Wayne Hummer Investments; Insured Bank Deposits Program – Frequently Asked Questions; obtained 12/26/2002; 4 pages	
	CA57	Wayne Hummer Investments, "Contact Us," retrieved from the Internet: http://www.waynehummer.com/contact_us.htm , 6 pages [retrieved on 1/8/2003].	
	CA58	Wayne Hummer Investments For Life, Booklet, 2003, 25 pages.	
	CA59	Wayne Hummer, "For Time-Proven Professionalism," Booklet, 2003, 13 pages.	
	CA60	Wayne Hummer, "Insured Bank Deposits™ Program Information Statement," obtained 12/26/02; 12 pages.	

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